ASSENT - CUSTOMER MARKET DATA ENTITLEMENTS APPLICATION
Please Read Instructions and Disclaimers Carefully !

- **Market Data Entitlements cannot be activated until this form is executed.** **{Form ACPWNA12AC}**
- **A separate market data entitlements application is needed for each trader ID.**
- **Changes To Entitlements Originally Requested Require The Execution Of A New Entitlements Set Up Form.**
- **For An E-Signal ID, Please Retrieve Appropriate Form At: http://Downloads.Assent.com/Esignal.**
- **Based on trading patterns, Assent may disable market data feeds not used for a long period of time.**

Market Data Subscriber's Profile Data:

Account # = _____

This A/C is a: ____ Prime A/C ____ Sub A/C

Prime A/C Owner's Name:

_____

Branch # = _____

Trader ID = _____

Trader's Name:

_____

Please Check Below Which Market Data Services You Wish to Subscribe To:	YES	NO
New York Stock Exchange (CQS) (Level 1 quote data for NYSE, AMEX and Regional's)	☐ [1]	☐
American Stock Exchange (Last Sale, Bid and Ask quotes for AMEX traded stocks)	☐ [1,2]	☐
NASDAQ Market Data (Level 2 quotes for all NASDAQ stocks)	☐	☐
New York Stock Exchange Open Book (Depth of NYSE specialist order book)	☐ [3]	☐
ARCA|EX Listed (ARCA book for listed securities)	☐ [4]	☐
ARCA|EX OTC (ARCA book for over the counter securities)	☐ [5]	☐

- Please note that market data services typically incur monthly subscription costs.
- Please confer with your GSP should you have any specific questions relating to these and other services. Assent's operating policy calls for such expenses to be passed through to the individual trader accounts on a monthly basis.
- Certain chargeback expenses (ARCA, AMEX, NASDAQ, & NYSE non Open Book) vary depending upon whether or not the account is classified as a "professional" versus a "non - professional".

[1] Select NYSE and AMEX orders may incur a specialist fee which will be passed through to the trader at $0.009/share.
[2] AMEX orders cancelled from Susquehanna's book may incur a $0.0016/share cancel fee.
[3] NYSE Open Book expenses do not currently vary by classification category -- $65/month pre-tax, per trader ID.
[4] Presently there is no charge, but this will likely change to $15 (pro) or $5 (non-pro) per trader ID.
[5] Presently there is no charge, but this will likely change to $15 (pro) or $5 (non-pro) per trader ID.

Signature of Customer: x _____

Date: _____

For GSP Use Only:

Branch # _____ Account # _____ Hammer ID# _____

OSJ Supervisor Name: _____

OSJ Supervisor Signature: x _____ Date: _____

New A/C's - Approval To Set Up: _____ Customer Service Verification: _____