

# ASSENT - CUSTOMER MARKET DATA ENTITLEMENTS APPLICATION

## Please Read Instructions and Disclaimers Carefully !

- Market Data Entitlements cannot be activated until this form is executed. {Form ACPWNA12AC}
- A separate market data entitlements application is needed for each trader ID.
- Changes To Entitlements Originally Requested Require The Execution Of A New Entitlements Set Up Form.
- For An E-Signal ID, Please Retrieve Appropriate Form At: <http://Downloads.Assent.com/ESignal>.
- Based on trading patterns, Assent may disable market data feeds not used for a long period of time.

### Market Data Subscriber's Profile Data:

Account # = \_\_\_\_\_

This A/C is a: \_\_\_\_ Prime A/C \_\_\_\_ Sub A/C

Prime A/C Owner's Name: \_\_\_\_\_

Branch # = \_\_\_\_\_

Trader ID = \_\_\_\_\_

Trader's Name: \_\_\_\_\_

Please Check Below Which Market Data Services You Wish to Subscribe To:	YES	NO
<b>New York Stock Exchange (CQS)</b> (Level 1 quote data for NYSE, AMEX and Regional's)	<input type="checkbox"/> <sup>1</sup>	<input type="checkbox"/>
<b>American Stock Exchange</b> (Last Sale, Bid and Ask quotes for AMEX traded stocks)	<input type="checkbox"/> <sup>1,2</sup>	<input type="checkbox"/>
<b>NASDAQ Market Data</b> (Level 2 quotes for all NASDAQ stocks)	<input type="checkbox"/>	<input type="checkbox"/>
<b>New York Stock Exchange Open Book</b> (Depth of NYSE specialist order book)	<input type="checkbox"/> <sup>3</sup>	<input type="checkbox"/>
<b>ARCA EX Listed</b> (ARCA book for listed securities)	<input type="checkbox"/> <sup>4</sup>	<input type="checkbox"/>
<b>ARCA EX OTC</b> (ARCA book for over the counter securities)	<input type="checkbox"/> <sup>5</sup>	<input type="checkbox"/>

- Please note that market data services typically incur monthly subscription costs.
- Please confer with your GSP should you have any specific questions relating to these and other services. Assent's operating policy calls for such expenses to be passed through to the individual trader accounts on a monthly basis.
- Certain chargeback expenses (ARCA, AMEX, NASDAQ, & NYSE non Open Book) vary depending upon whether or not the account is classified as a "professional" versus a "non - professional".

<sup>1</sup> Select NYSE and AMEX orders may incur a specialist fee which will be passed through to the trader at \$0.009/share.

<sup>2</sup> AMEX orders cancelled from Susquehanna's book may incur a \$0.0016/share cancel fee.

<sup>3</sup> NYSE Open Book expenses do not currently vary by classification category -- \$65/month pre-tax, per trader ID.

<sup>4</sup> ARCA|EX Book Listed will incur in \$15/month (professional) or \$5/month (non-professional) per trader ID.

<sup>5</sup> ARCA|EX Book OTC will incur in \$15/month (professional) or \$5/month (non-professional) per trader ID.

Signature of Customer:                    x \_\_\_\_\_

Date: \_\_\_\_\_

### For GSP Use Only:

Branch # \_\_\_\_\_ Account # \_\_\_\_\_ Hammer ID# \_\_\_\_\_

OSJ Supervisor Name: \_\_\_\_\_

OSJ Supervisor Signature: x \_\_\_\_\_ Date: \_\_\_\_\_

New A/C's - Approval To Set Up: \_\_\_\_\_ Customer Service Verification: \_\_\_\_\_